



Asia/Pacific Group
on Money Laundering

APG ANNUAL BUSINESS PLAN

1 JULY 2009 TO 30 JUNE 2010

Adopted by Members at 12th Annual Meeting
Brisbane, Australia, 7 July 2009

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CONTENTS

Key Tasks for 2009 – 2010	2
Strategic Plan Goal 1	6
Strategic Plan Goal 2	9
Strategic Plan Goal 3	11
Strategic Plan Goal 4	15
Strategic Plan Goal 5	19
Strategic Plan Goal 6	22
Common performance targets for 2009 – 10	26

SUMMARY AND BACKGROUND

1. The APG is a regional multi-lateral organisation formed in 1997 and consists of 40 members. The strategic mission of the APG is to contribute to a reduction in the economic and social impacts of serious crime in the Asia/Pacific region by ensuring that APG members combat money laundering and terrorist financing through:
 - (1) Effective participation in the FATF’s standard-setting process; and
 - (2) Full and effective implementation of those standards.

2. To achieve this mission, the APG adopts Annual Business Plans that outline priority tasks against agreed goals and strategies articulated in the APG Strategic Plan. Execution of performance targets stated in these annual plans assists the membership to measure success in achieving the broader strategic objectives of the APG.

3. This Business Plan outlines the major tasks and performance targets for the period 1 July 2009 to 30 June 2010 consistent with the APG’s strategic mission as well as the goals and strategies outlined in the APG Strategic Plan 2009 – 2012.

4. This Business Plan and the Budget Paper 2009 - 2010 (the financial basis of this plan) are the APG’s core operating documents for 2009 – 2010.

PERFORMANCE TARGETS/MEASURES

5. A number of tasks such as major APG events and projects have common performance targets. As in previous years, a project-management approach will be taken to plan, execute and follow-up each major tasks or event.
6. Common performance targets are outlined at **Annex A**.

RESOURCES

7. As stated in the APG Budget Paper for 2009-2010, the resources required to achieve the tasks in the APG business plan extends considerably beyond members' core contributions to include additional financial resources, as well as human and technical resources. For instance, many technical assistance and training missions, and visits to members, are predicated on external (i.e., non-core) funding arrangements and by participation by individual member experts.
8. Without additional resources and support for APG events (including mutual evaluations and working groups) by members and observers, the objectives articulated in this Business Plan may not, or cannot, be achieved within the timeframes stated. Canada, New Zealand and the US have provided additional funding for major activities (see Budget Paper for details). The Commonwealth Secretariat has also provided funds for a specific project. There may be a need for additional resources.

KEY TASKS FOR 2009-10

9. The APG's key tasks for 2009-10 include the following¹:

Meetings

- The APG Annual Meeting and Annual Forum on Technical Assistance & Training will be held in mid-2010.
- An APG Typologies Workshop will be held in the last quarter of 2009.
- The Steering Group will meet on a regular basis as determined by the Group's members and will continue to provide on-going advice to the membership and the Co-Chairs.
- An APG Donors and Providers (DAP) Group meeting; one (1) COAMLI (Coordinating Office for the Pacific Anti-Money Laundering Initiative) meeting; and one (1) ASEAN COAG (Coordination Agreement) meeting will be held.

Outreach Missions

- Up the three (3) outreach missions will be undertaken to APG members and other jurisdictions to facilitate full and active membership and promote commitment to implement the global AML/CFT standards. These missions may include pre-ME planning assistance, and awareness-raising assistance.

Participation in global AML/CFT network

- As a FATF Associate Member, the APG (through the Secretariat and member representatives) will attend all FATF Plenary, Typologies and working group meetings. Reports summarizing these meetings will be provided to APG members.
- Information from FATF and other AML/CFT bodies will be communicated to APG members as it is received, through a variety of means including, email, the website and individual correspondence and communication.

¹ A number of these tasks are dependent on donor funding.

Mutual evaluations and evaluation training

- Evaluations of at least six (6) members (including joint FATF/APG Mutual Evaluations and/or IMF/World Bank Assessments) will be adopted at the 2010 Annual Meeting.
- Up to 32 assessors from APG members will be trained at an assessor training workshop to be held in August 2009.
- A training workshop for members scheduled for evaluation in late 2010/early 2011 will be conducted in early 2010 (subject to external/donor funding).
- Conduct up to three (3) pre-ME planning visits to members to be assessed 2010 – 11.

Research

- The APG will support the Typologies Framework and closely collaborate with the FATF Typologies Working Group including:
 - Providing representatives to the FATF Global Threat Assessment (GTA) Project Group
 - Ongoing input to the FATF typologies project on securities markets
 - Contribution of survey results to the FATF typologies project on money service businesses
- The 2009 APG Typologies Workshop will include a focus on ML/TF vulnerabilities from abuse of non-profit organisations (NPOs), and terrorist financing trends in the Asia/Pacific Region in order to support and build on project work in these areas.
- The APG will continue to support regional training initiatives (led by Donors and Providers) by building upon relevant typologies work.

Implementation assistance

- The APG Implementation Issues Working Group (IIWG) will assist members to develop sustainable strategies to meet mutual evaluation report recommendations.
- The IIWG will continue to implement the SIP Framework in collaboration with the World Bank and other donors for APG members whose mutual evaluation reports have been adopted.
- Up to five (5) SIP workshops will be conducted to assist APG members to develop implementation priorities using the SIP Framework. In addition, a regional train-the-trainer workshop to be hosted by Bank Negara Malaysia in Kuala Lumpur in late 2009. Invitees will include other FSRBs and members of the DAP Group.
- The IIWG will identify other priority and thematic implementation concerns for consideration at the 2010 APG Annual Meeting.
- The IIWG will continue to develop an implementation resource library reflecting APG members' implementation experience.

Technical Assistance and Training Programmes

- The APG Secretariat will continue to support and enhance existing mechanisms with the Pacific Island Forum Secretariat and the ASEAN Secretariat.
- The APG will provide priority support to: (1) develop TA&T coordination mechanisms and follow-up assistance to: Afghanistan, Bangladesh, Pakistan and Vietnam;(2) expand existing national coordination processes to Bangladesh, Thailand, Pakistan, Lao PDR, Timor Leste, the Philippines, Indonesia, Nepal, Maldives, Vietnam. As part of these missions the Secretariat will assist members' in relation to their national policy coordinating mechanisms to further encourage the establishment and/or enhancement of such bodies in each jurisdiction.

- The APG Secretariat will provide support to Vietnam to undertake a risk assessment of their ML/TF risks.
- APG Secretariat to plan and execute in-country TA&T needs scoping missions for Vietnam
- APG Secretariat to assist two member countries in the Pacific
- A number of regionally-specific AML/CFT TA&T projects will be developed and delivered in collaboration with various DAP Group members (manuals and guidelines, training programmes for legal, financial, FIU and law enforcement sectors).
- The APG Secretariat will collaborate with DAP Group members to develop, coordinate and deliver AML/CFT-specific programmes in accordance with regional priorities, including: CFT implementation programmes; regional programmes to build AML/CFT capacity amongst AML/CFT supervisors and regulators; and regional enforcement and prosecution capacity building programmes.

Asia/Pacific Group on Money Laundering
APG Secretariat
July 2009



Asia/Pacific Group
on Money Laundering

**APG ANNUAL BUSINESS PLAN
1 JULY 2009 TO 30 JUNE 2010**

**TASKS AND PERFORMANCE TARGETS
AGAINST AGREED STRATEGIES
2009 - 2010**

STRATEGIC PLAN GOAL 1

PROVIDE A STRONG, COHESIVE AND AUTONOMOUS REGIONAL BODY FOR APG MEMBERS AND OBSERVERS

Strategies	Tasks	Performance Targets
<p>1(i) Undertake a process to decide whether to renew the APG's mandate beyond the end of 2012 and, if so, for how long</p>	<ul style="list-style-type: none"> • Monitor and contribute to FATF mandate extension issues and 4th round mutual evaluation discussions • Seek members' preliminary views through the Steering Group on the extension of the APG mandate beyond 2012 • Consider the best vehicle to explore the APG's mandate for the following year 	<ul style="list-style-type: none"> • Attend and contribute to all FATF WGEI meetings and other FATF plenary discussions of the FATF mandate and 4th round mutual evaluations issues and provide papers and summaries to members, Steering Group and Co-Chairs throughout the year. • Conduct preliminary consultation with APG members through the Steering Group and prepare preliminary policy paper for Steering Group review in June 2010 on extension of APG mandate beyond 2012 • Members to consider an APG mandate discussion paper at the 2010 Annual Meeting
<p>1(ii) Conduct, support and participate in: (i) APG plenary meetings; (ii) an annual APG Forum on Technical Assistance and Training; (iii) a minimum of six compliance assessments of APG members per year; (iv) APG Typologies Workshops; and (v) targeted APG training activities.</p>	<ul style="list-style-type: none"> • Plan, coordinate and deliver the 2010 APG Annual Meeting and technical assistance and training forum; 2009 APG Typologies Workshop; mutual evaluation assessor training workshop in mid-2009; and pre-ME training workshop in early 2010 • Conduct, support and participate in six scheduled second round APG mutual evaluations in conjunction with other assessor bodies where necessary 	<ul style="list-style-type: none"> • Prepare targeted project plans, liaise with and assist meeting host jurisdictions (for each event) to ensure efficient and effective delivery; • Deliver assessor training workshop in August 2009 for at least 32 APG participants from all APG sub-regions • Deliver pre-mutual evaluation training for five APG members to be evaluated in late 2010 and early 2011, namely: Marshall Islands, Nauru, Lao PDR, Niue, and Nepal • Conduct and/or coordinate with other assessor bodies the adoption of six mutual evaluation reports of APG members at the 2010 Annual Meeting in accordance with the approved evaluation schedule (see Goal 3)
<p>1(iii) Support and expand the resources of the APG Secretariat to continue to provide an</p>	<ul style="list-style-type: none"> • APG members provide support and commit resources to sustain agreed Secretariat staffing, operation and work programme 	<ul style="list-style-type: none"> • Ensure that all members pay membership fees/subscriptions by due date

<p>effective focal point for regional AML/CFT activities; and for liaison between APG members and observers, including the FATF and other regional and international organisations</p>	<ul style="list-style-type: none"> • Encourage members to support APG programmes through voluntary contributions and through staff secondments, in addition to budget contributions • Manage APG Secretariat staff, budget, operation and work programme • Provide specialist policy and technical advice, regional and international liaison and information services to APG members. • Manage APG revenue and expenditure in accordance with agreed budget and compliance obligations • Support APG communications in accordance with the APG Information and Communications Plan 2009 	<ul style="list-style-type: none"> • Secretariat to request voluntary contributions including targeted funds for specific activities from members and/or secondee staff • Secretariat to prepare and implement project plans to effectively manage voluntary contributions and seconded staff • Solicit APG members to provide experts for specific APG missions, including high level membership missions, SIP missions, awareness raising missions and possible new member missions • Additional capacity is obtained and all financial and accounting compliance obligations imposed by APG Secretariat host agency are met within agreed timeframes • Enhance APG communications through annual reporting; at least three APG newsletters; email communications; and continued updating of the APG website
<p>1(iv) Support the APG Steering Group, including maintaining representation from sub-regional areas of the APG, to strengthen APG governance arrangements</p>	<ul style="list-style-type: none"> • Sub-regional Groups to provide representatives and commit resources for Steering Group • Steering Group representatives to consult with members in their sub-regional group on governance issues • Secretariat to support Steering Group as per Steering Group Terms of Reference (ToR) • Steering Group to convene regularly (and at least quarterly) to achieve its mandate • Steering Group to report to membership on work and activities 	<ul style="list-style-type: none"> • At the 2009 Annual Meeting each sub-regional group elects one representative for a one-year term on the Steering Group • Sub-regional representatives contribute to work of SG through participation in all meetings and consultation with sub-regional members. • Secretariat provides administrative and advisory support to the Steering Group in accordance with the Steering Group Terms of Reference • Steering Group to meet quarterly (at a minimum) by teleconference, or in other formats as agreed, including at least one face-to-face meeting in the margins of 2010 Annual Meeting • Steering Group will, through the Co-Chairs, report to the plenary at 2010 APG Annual Meeting on its work during the year and to members as necessary between Annual Meetings

<p>1(v) Maintain and/or enhance the level of active participation by APG members in the APG's work programme and conduct outreach missions to targeted jurisdictions to support active membership of, and participation in, the APG</p>	<ul style="list-style-type: none"> • Steering Group to encourage greater participation from their geographical regions in APG activities • Undertake up to three outreach missions to targeted priority members to encourage membership of / full participation in APG • Contribute to conferences, seminars and training workshops involving APG members and observers to promote and publicise the APG and expand awareness of AML/CFT issues² • Members and Observers to encourage new membership in APG and raise awareness of AML/CFT issues in national and regional forums 	<ul style="list-style-type: none"> • Steering Group to discuss sub-regional representation in APG activities during Steering Group meetings and develop strategies to encourage more active participation • Up the three (3) outreach missions will be undertaken to APG members and other jurisdictions to facilitate full and active membership and promote commitment to implement the global AML/CFT standards. Steering Group representatives participate or support participation in at least one (1) of these missions. • International and regional AML/CFT conferences and training seminars are attended and the opportunities to participate in, and benefit from, the APG's work are documented • All members to encourage bilateral or multilateral engagement between members and non-participating members to advance APG strategic objectives in the region and globally
<p>1(vi) Enhance relationships with strategically important regional and international organisations and jurisdictions to encourage their participation as observers in the APG</p>	<ul style="list-style-type: none"> • Contribute to conferences, seminars and training workshops involving APG observers to promote and publicise the APG and expand awareness of AML/CFT issues³ • Continued engagement with relevant AML/CFT bodies to enhance effectiveness of the APG • Members and Observers to raise awareness of AML/CFT issues in national and regional forums and to engage with relevant AML/CFT international organisations • Identify opportunities to establish cooperative agreements with other strategically important organisations, such as the European Union and SAARC 	<ul style="list-style-type: none"> • Regional AML/CFT conferences and training seminars will be attended and the opportunities to participate in, and benefit from, the APG's work are documented • APG to engage on a continued basis with ADB, IMF, World Bank, OGBS and other similar bodies in respect of conferences workshops and regional initiatives • Bilateral and/or multilateral engagement occurs between members and non-participating jurisdictions within the APG region • Effective contacts are identified and processes, or mechanisms, for ongoing communication and cooperation are established by 30 June 2010

² Dependent on external donor funding.

³ Dependent on external donor funding.

STRATEGIC PLAN GOAL 2

ACTIVELY PARTICIPATE IN, AND CO-OPERATE WITH, THE GLOBAL AML/CFT NETWORK

Strategies	Tasks	Performance Targets
<p>2(i) Maintain APG’s Associate Membership in the FATF and actively participate in global standard setting and related FATF activities</p>	<ul style="list-style-type: none"> • Comply with Associate Membership criteria of FATF in order to maintain status in FATF • Represent the APG at FATF Plenary and Typologies meetings including FATF working group and heads of FSRB meetings • Support the APG’s participation in the FATF as an Associate Member • Encourage and assist APG members to contribute directly to FATF global activities • Involvement of APG members in FATF Plenaries and Working Groups including representing APG at all FATF Working Group meetings is maintained/increased (WGTM, WGEI and WGTYP) and in Working Group projects 	<ul style="list-style-type: none"> • Ensure that APG governance structure and operations permit effective Associate Member contributions to FATF • APG Secretariat and/or members attend FATF Plenary, Typologies and working group meetings and prepare progress reports on APG activities and achievements for those events • Outcome reports are provided to APG members within four (4) weeks of FATF meetings or as information is available from the FATF • APG’s approach and members’ experience is reported and reflected in FATF reports and standard setting work • Secretariat to keep members informed of FATF events and meetings and encourage members to participate as APG delegates prior to any such meetings by continuous communication of those events on APG website and email communication
<p>2(ii) Provide AML/CFT policy, implementation and assessment advice to APG members</p>	<ul style="list-style-type: none"> • Provide liaison between APG members and FATF and facilitate APG input to the FATF and from the FATF to the APG. • Provide APG documents and APG Working Group reports to FATF • Disseminate FATF and others standards and guidance material to all APG members • Provide assistance and advice to APG members and APG input to FATF processes regarding the 	<ul style="list-style-type: none"> • All relevant FATF documents are distributed to APG members via APG web site or email as received • Feedback from APG members on FATF initiatives is coordinated by Secretariat and forwarded to FATF to meet deadlines • Deliver reports to meet FATF deadlines and provide feedback to APG members as soon as possible following FATF events • Timely advice that meets specific requests and needs is provided to APG members regarding the ICRG.

	FATF's International Cooperation Review Group (ICRG)	<ul style="list-style-type: none"> Timely and accurate advice is provided to the FATF, as requested for at least three FATF ICRG meetings per year and any additional inter-sessional meeting as convened (up to (3) three)
2(iii) Share Asia/Pacific regional experience of AML/CFT implementation and assessment issues with the FATF and other international organisations	<ul style="list-style-type: none"> Support enhanced contact and cooperation with FSRBs through attendance at one FSRB annual/plenary meeting and regular liaison and co-operation with other FSRBs Identify opportunities for cooperative agreements with strategically important regional and global organisations 	<ul style="list-style-type: none"> APG Secretariat to identify one strategically important FSRB and share APG experience as an FSRB at its meeting/plenary; followed by report to members on outcomes APG Secretariat to work co-operatively with FSRBs, including in the context of FATF Associate Membership, and report to members on outcomes Effective contacts are identified and processes, or mechanisms, for ongoing communication and cooperation are established by 30 June 2010
2(iv) Further enhance APG mechanisms for working with the FATF, other FATF-style regional bodies and strategically important regional and international organisations and jurisdictions	<ul style="list-style-type: none"> Explore mechanisms to enhance APG and FATF relationship and relationship with other relevant AML/CFT bodies Conduct a joint APG/other FSRB workshop on topics of mutual interest (SIP, or typologies) 	<ul style="list-style-type: none"> APG Secretariat and/or representatives submit proposals to FATF to deepen relationship and further the Associate Membership status Pursue closer involvement of FSRBs and the Egmont Group in IIWG and Typologies WG events including a joint workshop with an FSRB

STRATEGIC PLAN GOAL 3

ASSESS APG MEMBERS' COMPLIANCE WITH THE GLOBAL AML/CFT STANDARDS

Strategies	Tasks	Performance Targets
<p>3(i) Ensure that a minimum of six APG members are assessed each year against the AML/CFT international standards</p>	<ul style="list-style-type: none"> • Conduct or coordinate with other assessor bodies for the adoption of six mutual evaluation reports of APG members at the 2010 Annual Meeting. Members to provide, and fund, trained experts for planned mutual evaluations • APG to support a limited number of member experts to participate in mutual evaluation on-site visits • Members to ensure that assigned evaluation experts attend all required meetings to complete mutual evaluation reports in accordance with APG procedures 	<ul style="list-style-type: none"> • Prepare annual evaluation/assessment schedule in coordination with other assessor bodies for approval by APG members at 2010 Annual Meeting • Plan and conduct, or participate with other assessor bodies, in the mutual evaluations of New Zealand, Tonga, Afghanistan, Solomon Islands, Brunei Darussalam and India • Members to provide experts when requested by Secretariat in order to comply with approved evaluation schedule • Secretariat to monitor, and support compliance with, internal procedures, including deadlines, in order to meet performance targets to required standards by APG assigned experts • APG budgets fund a limited number of evaluators (from low capacity member) to participate in on-site visits • Members providing experts fund their costs to attend the required APG (and, where necessary, FATF) meetings to discuss and adopt relevant evaluation report
<p>3(ii) Complete the APG's Second Round of Mutual Evaluations and prepare for and commence a third round of mutual evaluations.</p>	<ul style="list-style-type: none"> • Ensure that planning for the second round of evaluations results in its completion at the 2012 APG Annual Meeting and includes all newly admitted APG members (currently, Timor Leste, The Maldives and Papua New Guinea) • Commence preliminary planning for third round of APG evaluations 	<ul style="list-style-type: none"> • Secretariat liaises with Timor Leste, Maldives and Papua New Guinea to fix dates for the completion of their mutual evaluations within second round schedule in accordance with Goal 3(i) • Monitor FATF fourth round discussions in accordance with Goal 1(i) and prepare discussion document for Steering Group outlining major issues for APG third round

<p>3(iii) Provide training in the international AML/CFT standards and Assessment Methodology for APG evaluators</p>	<ul style="list-style-type: none"> • Conduct APG Assessor Training Workshop during financial year to increase pool of available evaluators to meet programme obligations • Members to provide identified experts for assessment training • Include trainee assessors to observe on evaluations to develop relevant expertise to use on subsequent evaluation 	<ul style="list-style-type: none"> • Plan and deliver an Assessor Training Workshop in Sydney for August 2009 in accordance with common Performance Targets for up to 32 experts from APG members⁴ • Secretariat to provide liaison and information support to participant members • Members endeavour to provide “trainee evaluators” on request to participate in APG or other assessor body workshops⁵ • Trained evaluators commit to undertake a mutual evaluation at the request of the Secretariat within 18 months of completion of training • Trained evaluators consider making themselves available for at least one EQCG review during financial year
<p>3(iv) Provide preparation, support and training in the global AML/CFT standards and assessment methodology for APG members undergoing evaluation</p>	<ul style="list-style-type: none"> • Conduct pre-mutual evaluation training for officials from members about to undergo a mutual evaluation in late 2010/early 2011 • Conduct technical, administrative and procedural briefings for officials from members undergoing a mutual evaluation in 2009–10 • Provide further information and support to officials from members undergoing a mutual evaluation on an as needed basis to raise awareness of procedures 	<ul style="list-style-type: none"> • Deliver pre on-site training for members undergoing an evaluation in March 2010 in accordance Performance Targets for APG members to be assessed late in 2010 and early 2011⁶ • Secretariat to provide mutual evaluation briefing for all members about to be assessed in 2010-11 in the margins of the 2010 Annual Meeting • Conduct up to three (3) pre-ME planning visits to members and one pre-ME workshop for members to be assessed 2010 – 11 (see Goal 1(ii)) to provide detailed technical information and briefings to government officials and, where appropriate, private sector agencies on APG requirements • Prior to an onsite visit, the Secretariat to provide administrative, technical and other support for members to be assessed on an as-needed basis (using telephone/video conferences) • Revised APG Mutual Evaluation procedures are approved by

⁴ Dependent on donor funding for participants from developing jurisdictions; participants from developed jurisdictions must be self-funded; APG presenters are supported by core APG budget.

⁵ Trainee evaluators would be either self-funded or rely on donor funds.

		members at 2010 Annual Meeting
3(v) Contribute to the refinement of the standard AML/CFT Assessment Methodology and update the APG Mutual Evaluation Procedures as required	<ul style="list-style-type: none"> Attend all FATF WGEI meetings to monitor policy initiatives and decisions made on the FATF assessment methodology Request members to suggest changes to assessment methodology and mutual evaluation procedures in the light of possible FATF 4th round (and APG 3rd round) of mutual evaluations 	<ul style="list-style-type: none"> APG Secretariat and members to monitor FATF WGEI initiatives on Assessment Methodology Secretariat to solicit comments on, and/or make necessary policy recommendations on changes to, the assessment methodology in evolving FATF fourth round discussions Feed APG member comments into assessment methodology policy discussions during FATF meetings Secretariat to review APG evaluation procedures and recommend changes to reflect changes to the assessment methodology, FATF procedures and other APG procedures
3(vi) Maintain and enhance mechanisms to ensure the quality and consistency of APG mutual evaluation reports	<ul style="list-style-type: none"> Support the operation of the Expert Quality Control Group (EQCG) Update APG Mutual Evaluation Procedures when necessary Provide input to other assessor bodies on draft Mutual Evaluations or Assessment Reports 	<ul style="list-style-type: none"> Secretariat to coordinate the EQCG process in a timely manner to enhance the quality and consistency of completed APG Mutual Evaluation Reports not yet adopted for quality and consistency Secretariat to solicit members to provide trained evaluators to participate in the EQCG APG to provide input to FATF, IMF and World Bank-led assessments
3(vii) Maintain and enhance mechanisms to monitor action taken by evaluated APG members in response to their mutual evaluation reports	<ul style="list-style-type: none"> APG members to continue to conduct surveillance on APG members' compliance efforts against global AML/CFT standards Secretariat to report non-compliance concerns to Co-Chairs and Steering Group in accordance with APG Explanatory Note on Membership 	<ul style="list-style-type: none"> APG members to report on progress made in response to mutual evaluation reports (ME Progress Reports) for the 2010 Annual Meeting APG members file APG Jurisdiction Reports for 2010 Annual Meeting at least 30 days prior to the Annual Meeting

⁶ Subject to donor funding.

		<ul style="list-style-type: none">• All Members review ME Progress Reports to ensure that other members take appropriate follow-up action on legal, structural and administrative deficiencies note in evaluation reports• Members agree on required follow-up action by evaluated members at the 2010 Annual Meeting in response to Co-Chairs' and Steering Group concerns
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STRATEGIC PLAN GOAL 4

CARRY OUT EDUCATION, RESEARCH AND ANALYSIS ACTIVITIES TO ENHANCE UNDERSTANDING OF THE MONEY LAUNDERING AND TERRORIST FINANCING ENVIRONMENTS AND THE EFFECTIVENESS OF AML/CFT EFFORTS

Strategies	Tasks	Performance Targets
<p>4(i) Maintain and enhance the APG's programme of collection, analysis and dissemination of typologies information to identify and analyse priority AML/CFT typologies issues, case studies and jurisdictional risks</p>	<ul style="list-style-type: none"> • Implement APG Typologies Framework, as updated from time to time, including the Typologies Management Plan • Publish yearly typologies report 	<ul style="list-style-type: none"> • Members and observers provide typologies information, and intelligence on methods and trends, at least annually and provide AML/CFT case studies throughout the year • Integrate the collection, analysis and distribution of regional typologies information, intelligence and ML/TF case studies • Members provide technical support to enable analysis of collected material • Typologies reports are circulated to members prior to the 2010 Annual Meeting including an Annual Typologies Report and a paper on an agreed priority topic
<p>4(ii) Conduct an annual APG Typologies Workshop to identify and explore key AML/CFT typologies issues in the Asia/Pacific region</p>	<ul style="list-style-type: none"> • Conduct, support and participate in 2009 APG Typologies Workshop • Identify and confirm a suitable host for the 2010 APG Typologies Workshop • Identify key issues from the Asia/Pacific Region to be explored during the Typologies Workshop such as: <ul style="list-style-type: none"> ○ assistance to prosecuting agencies in the area of mutual legal assistance; ○ development and use of financial intelligence with a potential focus on terrorist financing; and 	<ul style="list-style-type: none"> • Plan and coordinate with the annual APG Typologies Workshop with Cambodia (host) in October/November 2009 in accordance with agreed Performance Targets (Annex A) • Seek to ensure maximum participation from members and observers • Circulate relevant jurisdiction reports and typologies information prior to 2010 meeting • Seek and, if obtained, disburse sponsorship funding for targeted members to facilitate attendance • Ensure that Typologies Workshop breakout sessions focus on key issues for the region

	<ul style="list-style-type: none"> ○ exploring vulnerabilities highlighted from the report on Casinos and Gaming 	<ul style="list-style-type: none"> ● Identify host jurisdiction (by October 2009) for 2010 APG typologies workshop
<p>4(iii) Support and promote the work of APG Working Groups to explore and address specific AML/CFT typologies issues in depth</p>	<ul style="list-style-type: none"> ● Ensure adequate resources for, and support, the APG Typologies Working Group ● Members commit resources and provide information to the APG Working Group on Typologies 	<ul style="list-style-type: none"> ● Working Group to be supported by a Chair, members and the Secretariat to: <ul style="list-style-type: none"> ○ Conduct in-depth studies on particular typology topics including: <ul style="list-style-type: none"> ○ vulnerabilities from abuse of non-profit organisations; and ○ terrorist financing trends in the Asia Pacific Region. ● Support and maintain a regional network of typology experts ● Working Group to continue to scan environment for typologies and trends in ML and TF and suggest specific projects and project working groups to study and report on identified emerging issues of concern ● Working Group to present a “work plan” to 2009 Typologies Workshop and report on its progress at that workshop ● Timely and appropriate information, support and resources are provided to the APG Working Group by members
<p>4(iv) Cooperate with global typologies partners, including the private sector, and contribute to joint typologies work by participating in FATF typologies activities</p>	<ul style="list-style-type: none"> ● Participate in FATF typologies activities including: <ul style="list-style-type: none"> ○ Global Threat Assessment (GTA) project; ○ Typologies project on Securities Markets; and ○ Typologies project on money service businesses 	<ul style="list-style-type: none"> ● Participate in FATF Working Group on Typologies (WGTYP), including attending WGTYP meetings, Yearly Joint Typologies Meetings and participation in WGTYP projects relevant to APG ● Maintain or increase participation of APG members in FATF WGTYP projects and FATF Typologies workshops through: <ul style="list-style-type: none"> ○ Distribution of FATF WGTYP documents to members and observers;

		<ul style="list-style-type: none"> ○ Solicitation of member comments and input where requested; and ○ Members comments on new project proposals, reports and other work
<p>4(v) Cooperate with global research partners, including the private sector, to address specific AML/CFT-related research issues</p>	<ul style="list-style-type: none"> ● Cooperate with regional and global partners including the private sector on AML/CFT typologies research ● Encourage engagement with the academic sector for partnered typologies research projects 	<ul style="list-style-type: none"> ● APG Typologies Working Group to engage with FATF, IMF, World Bank, ADB, FSRBs and with member countries of FATF and FSRBs and any other country or group to further research in the area of AML/CFT typologies ● APG to invite global research partners including academic researchers and private sector representatives (as appropriate) to participate in the 2009 Typologies Workshop and to contribute to priority typologies topics ● APG to consider appropriate opportunities for input from academic research and private sector representatives for the 2009 APG Typologies Workshop including scheduling additional sessions that draw out local private sector groups such as Banks and NPOs ● Contributions to APG Typologies Workshop by research partners are shared and reflected in typologies reports and follow up activities are planned ● APG to support approaches from the academic sector to research AML/CFT topics relevant for the Asia/Pacific region
<p>4(vi) Share the findings of identified key typologies and research issues through education and information exchange</p>	<ul style="list-style-type: none"> ● Disseminate APG typologies products, including findings, reports and research proposals to all stakeholders 	<ul style="list-style-type: none"> ● APG typologies products to be distributed to members, observers, regional and international partners and the public via the following channels:

		<ul style="list-style-type: none">○ APG website○ direct transmission, and○ APG events● APG experts and Secretariat to actively engage across the region in typologies events including: public presentations, education activities and, where appropriate, private sector events
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STRATEGIC PLAN GOAL 5

PROVIDE ASSISTANCE TO APG MEMBERS TO IMPLEMENT THE GLOBAL AML/CFT STANDARDS THROUGH IMPLEMENTATION PLANNING AND THE PROVISION OF GUIDANCE

Strategies	Tasks	Performance Targets
<p>5(i) Support and promote the work of APG Working Groups and Project Groups to explore and address specific AML/CFT implementation issues in depth</p>	<ul style="list-style-type: none"> • Identify Co-Chairs for the IIWG • Support and resource the APG Implementation Issues Working Group (IIWG) 	<ul style="list-style-type: none"> • IIWG to be supported by a Chair (Co-Chairs), members and the Secretariat to: <ul style="list-style-type: none"> ○ provide sustainable assistance to members to implement recommendations arising from mutual APG evaluation reports; ○ deliver practical implementation guidance; and ○ provide a bridge between the evaluated jurisdiction and the DAP Group to consider technical assistance and training towards implementation • IIWG members to provide timely and appropriate information, support and resources to the IIWG to ensure the group can undertake its work programme • IIWG to report on implementation lessons to 2010 Annual Meeting • Costs associated with participation in IIWG meetings to be met by IIWG members with incidental costs absorbed through APG core funds or by voluntary contributions from IIWG members
<p>5(ii) Provide in-country, and regional, advice to assist APG members to develop AML/CFT</p>	<ul style="list-style-type: none"> • Support awareness raising efforts to reinforce or generate political commitment 	<ul style="list-style-type: none"> • Members, including DAP Group members, and Secretariat support or participate in awareness raising efforts at political level to achieve/reinforce commitment for AML/CFT

<p>implementation plans that reflect the priorities of individual member jurisdictions and the Asia/Pacific region using the Strategic Implementation Planning (SIP) Framework, and working in collaboration with global partners</p>	<ul style="list-style-type: none"> • APG members with global partners to employ the Strategic Implementation Planning (SIP) Framework for APG members with completed mutual evaluation reports by: <ul style="list-style-type: none"> ○ Undertaking a regional workshop for members evaluated & TA&T providers on using SIP Framework with a view to training a core team from each evaluated jurisdiction ○ Undertaking targeted missions to assist priority APG members who have been evaluated to develop implementation plans ○ Share SIP enhancements with the FATF WGEI and other FSRBs • Form new project team to identify other priority and thematic implementation concerns for next financial year • Complete APG NPO sector reviews and seek to identify TA&T needs 	<p>implementation and address issues of coordinated resource allocation</p> <ul style="list-style-type: none"> • Assistance is provided to priority members and TA&T providers to utilise the Strategic Implementation Planning (SIP) Framework • Secretariat to identify up to five (5) members who require assistance to implement AML/CFT priorities in accordance with SIP framework and to deliver up to five (5) SIP assistance missions to those members, including, where available, with other AML/CFT partners • Deliver a regional workshop for 5 priority members evaluated in October 2009 • Revised SIP shared with the FATF and other FSRBs • Draft strategy/project to address identified priority concerns presented to the 2010 APG Annual Meeting for adoption • Remaining APG members to complete domestic NPO reviews and file reports with APG Secretariat by 30 June 2010 • Secretariat to enter NPO TA&T needs information in APG Needs Matrix and distributed to DAP Group for consideration at 2010 Annual Meeting
<p>5(iii) Provide advice and assist members to implement and integrate the AML/CFT global standards with their existing legal, financial and law enforcement systems of each jurisdiction</p>	<ul style="list-style-type: none"> • APG members and observers to take pro-active approach to assist other members to implement AML/CFT standards through bi-lateral advice and assistance • Secretariat to coordinate members when appropriate to provide bi-lateral implementation advice 	<ul style="list-style-type: none"> • Members endeavour to provide, or facilitate assistance, to other APG members on legal, law enforcement and financial/regulatory issues relevant to AML/CFT on the basis of requests for advice and assistance from members; and/or ratings disclosed in mutual evaluation reports

		<ul style="list-style-type: none"> • Secretariat to provide information and assistance to pro-active members, and to coordinate advice and assistance, within context of Strategic Goal 6
<p>5(iv) Develop and share comprehensive information resources to assist APG members with AML/CFT implementation</p>	<ul style="list-style-type: none"> • Develop and expand APG’s Implementation Library • Maintain and expand the APG website and other information dissemination tools • Advise members of independent sources relevant to AML/CFT implementation 	<ul style="list-style-type: none"> • APG members, observers and Secretariat to disseminate via website, email, correspondence and other means: <ul style="list-style-type: none"> ○ material (including laws, guidelines, best practice materials, case studies, etc) with focus on identifying and consolidating implementation experience; and ○ information concerning global AML/CFT standards and implementation strategies • Secretariat to update APG website Implementation Library on a quarterly basis and track website usage and canvass membership at 2010 Annual Meeting to determine how best to use website for implementation information dissemination

STRATEGIC PLAN GOAL 6

PROVIDE COORDINATION, ADVISORY SERVICES AND INFORMATION-SHARING FOR TECHNICAL ASSISTANCE AND TRAINING

Strategies	Tasks	Performance Targets
<p>6(i) Conduct an annual APG Technical Assistance and Training Forum to facilitate identification of technical assistance and training needs of targeted jurisdiction and to coordinate the delivery of those needs by members of the APG's Donors and Providers (DAP) Group</p>	<ul style="list-style-type: none"> • Organise, support and facilitate: <ul style="list-style-type: none"> ○ DAP Group meeting during the annual APG TA&T Forum ○ individual meetings with members to discuss TA&T needs and available assistance with DAP Group ○ sub-regional meetings between the DAP Group and the relevant members co-chaired by relevant regional bodies and APG Secretariat, to discuss sub-regional needs and opportunities for TA&T ○ other topical TA&T events 	<ul style="list-style-type: none"> • Secretariat to: <ul style="list-style-type: none"> ○ conduct and keep records of a meeting of DAP Group members including meetings with individual members ○ discuss provision of regional TA&T including to individual members and other specific issues during APG Annual TA&T forum ○ conduct two sub-regional meetings, for ASEAN and PIF members ○ develop and deliver up to three technical seminars open to all APG members and observers on emerging TA&T issues • APG members to identify priority TA&T needs and communicate these needs to the Secretariat and the DAP Group • APG Secretariat to work in collaboration with members of DAP Group to enhance coordination in delivery of priority TA&T for the APG members
<p>6(ii) Support and expand the APG's DAP Group to facilitate the sequenced and coordinated identification of technical assistance and training needs and the coordinated delivery of technical assistance and training to APG members.</p>	<ul style="list-style-type: none"> • Provide TA&T coordination between APG members and DAP Group members • Contribute to collection of up-to-date TA&T information • Support DAP Group members in their TA&T planning and programming for members 	<ul style="list-style-type: none"> • Conduct annual DAP Group meeting; one meeting between the Pacific Island members, APG & PIF Secretariats and one meeting between the South East Asian members, APG and ASEAN Secretariats • Organise, support and participate in scheduled and <i>ad hoc</i> meetings/teleconferences to identify TA&T needs, provision and coordination of TA&T in response to identified needs

	<ul style="list-style-type: none"> • Identify, include and orientate new APG DAP Group members 	<ul style="list-style-type: none"> • Collect and maintain comprehensive up-to-date information on the TA&T needs of APG members • Disseminate relevant information on TA&T needs to members of the DAP Group as requested • Identify and include new members in the DAP Group • Maintain comprehensive DAP Group contact information
<p>6(iii) Support the development of an AML/CFT coordination mechanism(s) in each APG member jurisdiction</p>	<ul style="list-style-type: none"> • Evaluate national coordination mechanisms of APG members • Advise members on the development of mechanisms to facilitate establishment of national coordination committees (NCC) among members • Evaluate necessity for TA&T coordination mechanisms for priority members/sub-regions • Establish and support in-country or regional/sub-regional TA&T coordination mechanisms including: <ul style="list-style-type: none"> ▪ ASEAN COAG, Pacific Islands COAMLI and TA&T Coordination Mechanism for Cambodia, Vietnam, Laos and Myanmar • Discussion at Typologies Workshops and other fora 	<p>Secretariat to:</p> <ul style="list-style-type: none"> ○ review reports on NCCs filed in 2009 ○ consult with members, observers and DAP Group members on NCCs ○ analyse mutual evaluation and other AML/CFT assessment reports of priority members • Provide assistance to enhance NCC and in-country TA&T coordination mechanisms for up to nine (9) members, including Bangladesh, Thailand, Pakistan, Timor Leste, the Philippines, Indonesia, Nepal, Maldives, Vietnam to meet identified needs • APG evaluation reports to recommend establishment and enhancement of effective NCCs • Conduct one meeting to facilitate the COAMLI and ASEAN COAG and to facilitate the Coordination Mechanism for Cambodia, Lao PDR, Myanmar, Vietnam • Members of the DAP Group discuss in-country or regional TA&T coordination mechanism during DAP group meetings

<p>6(iv) Conduct and support technical assistance and training needs analyses in targeted APG jurisdictions</p>	<ul style="list-style-type: none"> • Secretariat in conjunction with international and regional partners to assess TA&T needs of targeted APG members and observers 	<ul style="list-style-type: none"> • APG Secretariat to plan and execute in-country TA&T needs scoping missions for at least one (1) member • APG Secretariat, in collaboration with DAP Group members and APG members, to identify TA&T needs of priority members which have undergone SIP missions • APG Secretariat to include in-country mission findings into either a new or pre-existing matrix of TA&T needs
<p>6(v) Facilitate cooperation in, support the design, development and delivery of, and, where appropriate, provide technical assistance and training that meets the needs of APG members and the APG region</p>	<ul style="list-style-type: none"> • Design and facilitate projects and resources to enhance effective AML/CFT implementation • Facilitate and assist in delivery of TA&T projects and programmes across the APG region 	<ul style="list-style-type: none"> • APG Secretariat to provide information and advice to DAP Group members to assist in developing and designing TA&T projects to build capacity, and enable effective implementation of AML/CFT standards across the region in conjunction with members of the DAP Group • Provision of resources to facilitate TA&T delivery by members of the DAP group and APG members to meet priority TA&T needs for up to two(2) TA&T projects
<p>6(vi) Cooperate with APG DAP Group members and other global partners to address specific AML/CFT technical assistance and training issues</p>	<ul style="list-style-type: none"> • Identify specific AML/CFT TA&T issues to be addressed by APG members from MERs, DARs and TA&T missions • Assistance provided, in conjunction with DAP group members, to priority members to address specific issues identified including: <ul style="list-style-type: none"> ○ Undertaking ML/TF risks assessments ○ Building capacity in AML/CFT supervision ○ Building capacity amongst law enforcement in ML/TF investigations, undertaking provisional measures and asset forfeiture 	<ul style="list-style-type: none"> • Secretariat to identify specific issues in relation to needs of FIU, banking supervision, criminal law legislative drafting and law enforcement as reported in mutual evaluation reports and as arise during APG visits including SIP visits, TA&T visits and as reported by members in Jurisdiction Reports and ME Progress Reports • Secretariat to conduct one (1) visit to a priority jurisdiction, Vietnam in order to identify ML/TF risks – preference for DAP Group member participation • APG Secretariat, in collaboration with DAP Group members to identify and deliver TA&T projects and programmes targeted at meeting priority needs including those relating to CFT, building

	<ul style="list-style-type: none"> • Collaborate with DAP Group members in identifying priority TA&T needs for the region or sub-regions and encourage design and delivery of TA&T programs in response to such needs by members of the DAP Group 	<p>capacity on AML/CFT supervision and other priority regional needs</p> <ul style="list-style-type: none"> • Secretariat to continue to seek and obtain support and/or resources from OECD/FATF member countries within APG, and international organisations including IMF, World Bank, UNODC to provide targeted assistance to identified APG members, and specifically to newer members
<p>6(vii) Recognise, and support, the role of the private sector in AML/CFT technical assistance and training</p>	<ul style="list-style-type: none"> • Support global and regional efforts to reach out to private sector • Support collaboration with regional private sector bodies on AML/CFT training • Encourage APG members to provide feedback to and consult with the private sector on a range of AML/CFT issues 	<ul style="list-style-type: none"> • APG members to support private sector initiatives in FATF, Wolfsberg Group and other AML/CFT bodies in order to raise awareness of AML/CFT issues and seek private sector support for APG initiatives • APG to share information on best practices and regional issues with private sector where appropriate • Include private sector representatives, where appropriate, in APG initiatives to raise awareness on AML/CFT implementation • When making presentations on APG’s work in regional seminars and workshops involving private sector representatives, APG representatives to highlight opportunities for shared public/private sector consultation and joint implementation support • During mutual evaluations and outreach visits APG members are to collaborate with the private sector when necessary or appropriate in identifying opportunities for shared training • APG presentations to relevant seminars and workshops include information about public/private sector cooperation and opportunities for joint training and sharing of resources

**MAJOR APG EVENTS
APPROACH TO ACHIEVING KEY PERFORMANCE TARGETS
2009-2010**

1. MAJOR APG EVENTS - 2009-2010

The major events/projects for which key performance targets have been developed are:

- a. Annual Meeting 2010
- b. Annual Technical Assistance & Training Forum 2010
- c. Typologies Workshop 2009
- d. Mutual Evaluation Training Workshops
- e. Outreach Missions
- f. Participation in FATF Plenary and Working Group meetings
- g. Technical Assistance needs assessment missions and projects
- h. Implementation Issues Working Group projects, including SIP missions

To ensure successful achievement of these events/projects, the Secretariat employs a basic project management approach as follows:

2. PREPARATIONS

- Hosting and logistics planning is efficiently documented and budgets prepared.
- Required resources are confirmed and secured.
- Invitations and, as necessary, sponsorship, are organised to provide for the attendance of all key participants.
- All required documents and papers are prepared and distributed within agreed/reasonable timeframes.
- All participants consider draft materials and provide any feedback within the specified timeframes.

3. CONDUCT / PARTICIPATION

- All business on the agenda is dealt with and accurately recorded.
- Business arising is identified and delegated to particular members, or referred for appropriate attention.
- All key event or project outcomes are met and/or outputs achieved.

4. OUTCOMES / FOLLOW UP

- All plans are realised within the projected budgets and agreed timeframes.
- Resources are accounted for, or acquitted as required.
- Records of the meetings, including lists of participants, are distributed to participants within two months.
- Required follow up reports are prepared and circulated within the projected timeframes.