



Asia/Pacific Group
on Money Laundering

APG ACTION PLAN TO SUPPORT MEMBERS UNDER ICRG REVIEW

1 July 2010 – 30 June 2012

Adopted at 13th Annual Meeting
Singapore, July 2010

July 2010



ACTION PLAN 2010-2012 TO SUPPORT MEMBERS UNDER ICRG REVIEW

Background

1. The FATF's International Cooperation Review Group (ICRG) adopted new procedures in 2009 in response to a call by G20 Leaders. Those procedures aim to encourage jurisdictions identified by the ICRG as having systemic AML/CFT deficiencies to come into closer compliance with FATF standards. New structures include regional review groups (RRGs) jointly chaired by the FATF and a relevant FATF-style regional body and incorporate "prima facie" and "targeted" reviews, as well as new forms of FATF public statements.
2. A number of APG members have already been the subject of prima facie and targeted reviews (including action plans) and have been named in FATF statements. Many APG members have expressed concern over the new process and have expressed a need to coordinate technical assistance and training (TA&T) in light of that new process.
3. The APG is therefore taking a planned approach to assist its members under ICRG review through a variety of mechanisms including direct support through information sharing, implementation planning, TA&T coordination and technical planning visits to members affected.

APG Policy Framework

4. At the 2010 Annual Meeting, members adopted six broad recommendations to assist APG members under ICRG review. Those recommendations are:
 - 1) APG Secretariat is authorised to cooperate with the RRG by providing information on members under review including, Status (Jurisdiction) Reports; ME Progress Reports, Typologies Reports and TA&T information held by the Secretariat, unless the member objects at the outset of the RRG review;
 - 2) APG Secretariat will continue to expand TA&T coordination efforts with the DAP Group to encourage support for members under ICRG review;
 - 3) APG Secretariat will offer Strategic Implementation Planning (SIP) framework assistance, including training, for priority members under ICRG review;
 - 4) APG will inform the FATF of interface concerns between APG follow-up procedures and ICRG review processes;
 - 5) APG will request ICRG to give due consideration to the APG's enhanced ME follow-up procedures and allow those procedures a period of time to work as permitted under the ICRG processes; and
 - 6) APG Secretariat will actively participate in the RRG process and assist APG members in ensuring that the RRG report adequately represents the factual basis underlying the identified deficiencies. Prior to the FATF Plenary, APG members should also inform the APG Secretariat of any factual updates or developments relevant to the RRG report so that the Secretariat can inform the ICRG and the FATF

Plenary and ensure that recommendations of the ICRG are based on the most current information.

APG Action Plan

5. The wider APG membership endorsed an APG Action Plan (attached) to support individual members under review by the ICRG. The plan provides a framework to implement the recommendations noted above within five of the six APG strategic goals stated in the Strategic Plan 2009-2012 (Goal 4 is inapplicable).
6. The Action Plan contains new APG initiatives including:
 - Convening meetings in the margins of Annual Meetings, Typologies Workshops and other APG events to discuss TA&T issues and needs with reviewed members;
 - Developing pro-forma briefings and other material for APG members and share with the wider membership;
 - Conducting regional workshops and briefings for APG members;
 - Conducting ICRG support visits to each APG member under ICRG review;
 - Implementing revised APG Mutual Evaluation Procedures (adopted in July 2010) complementary to ICRG processes; and
 - Advising the FATF of APG's revised Mutual Evaluation Procedures and requesting that those procedures be allowed a period of time to work in respect of members with significant deficiencies as permitted under the ICRG processes.

Reporting Against this Plan

7. Progress against the tasks in this Plan will be reported annually during the APG Annual Meeting commencing July 2011.

APG Secretariat
July 2010



Asia/Pacific Group
on Money Laundering

APG ACTION PLAN TO SUPPORT MEMBERS UNDER ICRG REVIEW

TASKS AND PERFORMANCE TARGETS 2010-2012

APG ACTION PLAN TO SUPPORT MEMBERS UNDER ICRG REVIEW

APG STRATEGIC GOAL	STRATEGIES	TASKS
<p>GOAL 1</p> <p>PROVIDE A STRONG, COHESIVE AND AUTONOMOUS REGIONAL BODY FOR APG MEMBERS AND OBSERVERS</p>	<ul style="list-style-type: none"> • Support members at Annual Meetings, Typologies Workshops, Mutual Evaluation Training and other APG meetings to better understand ICRG policy and procedures • Support communication and information sharing to assist all members and observers to better understand ICRG objectives and procedures • Secretariat to assist members and the Asia/Pacific Regional Review Group (RRG) to maintain open communication with members under ICRG review 	<ul style="list-style-type: none"> • APG Secretariat to convene meetings in the margins at Annual Meetings, Typologies and other APG events to discuss technical assistance and training needs with members under ICRG review • Conduct awareness raising on ICRG process and implications • Develop pro-forma briefings and other material for APG members and share with the DAP Group and observers • Distribute relevant FATF documents, papers and decisions with the membership and prepare APG-specific explanatory materials • Conduct regional workshops and briefings for APG members • APG Secretariat and DAP Group members to conduct support visits to members under ICRG review • Exchange contact-point information between members and FATF • Facilitate information exchange between members and RRG Co-Chairs and RRG members

<p>GOAL 2</p> <p>ACTIVELY PARTICIPATE IN, AND CO-OPERATE WITH, THE GLOBAL AML/CFT NETWORK</p>	<ul style="list-style-type: none"> • Support active participation by APG members in FATF activities • Support further mechanisms for the APG to work with the FATF ICRG (including Regional Review Groups) 	<ul style="list-style-type: none"> • Provide AML/CFT policy, implementation and assessment advice to members on ICRG issues • Encourage members to respond to FATF policy documents relating to ICRG • APG Secretariat to participate in Asia/Pacific RRG process to ensure that RRG reports accurately represent the member’s AML/CFT systems • Distribute relevant member information with the RRG and with FATF as required including: <ul style="list-style-type: none"> ○ Member Typologies Reports ○ Member Status Reports ○ ME Progress Reports, and ○ Technical assistance and training information relevant to the ICRG review process including information held in the TA&T matrix • Secretariat to present APG regional experience in AML/CFT implementation and assessment issues to the FATF ICRG when they set ICRG policy
<p>GOAL 3</p> <p>ASSESS APG MEMBERS’ COMPLIANCE WITH THE GLOBAL AML/CFT STANDARDS</p>	<ul style="list-style-type: none"> • Minimise duplication of effort between ICRG processes and “enhanced” mutual evaluation follow-up procedures 	<ul style="list-style-type: none"> • Implement revised APG Mutual Evaluation Procedures (adopted in July 2010) complementary to ICRG processes

		<ul style="list-style-type: none"> • Advise the FATF of APG’s revised Mutual Evaluation Procedures (2010) and request that those procedures be allowed a period of time to work in respect of members with significant deficiencies, as permitted under the ICRG processes
<p>GOAL 5</p> <p>PROVIDE ASSISTANCE TO APG MEMBERS TO IMPLEMENT THE GLOBAL AML/CFT STANDARDS THROUGH IMPLEMENTATION PLANNING AND THE PROVISION OF GUIDANCE</p>	<ul style="list-style-type: none"> • Support APG members to develop action plans in response to mutual evaluation report recommendations • Support IIWG amendments to SIP Framework • Conduct SIP training workshops regionally and within each member to assist those members with ICRG-related and wider compliance issues 	<ul style="list-style-type: none"> • Use APG’s Strategic Implementation Planning (SIP) Framework to develop implementation plans for each member reviewed by the ICRG • APG Implementation Issues Working Group (IIWG) will monitor and amend the SIP Framework (where necessary) to take into account: <ul style="list-style-type: none"> ○ ICRG-related action plans within targeted review reports; ○ ICRG procedures, in general; and ○ “Enhanced” APG follow-up procedures • Deliver SIP workshops to members under ICRG review that includes advice and presentations tailored to address the interface and relationship between: <ul style="list-style-type: none"> ○ ICRG-related action plans in targeted review reports; and ○ SIP implementation plans

<p>GOAL 6</p> <p>PROVIDE COORDINATION, ADVISORY SERVICES AND INFORMATION-SHARING FOR TECHNICAL ASSISTANCE AND TRAINING.</p>	<ul style="list-style-type: none"> • Support technical assistance and training coordination to bring special attention to ICRG-related assistance issues • Support delivery of TA&T to members under ICRG review that assists those members to get greater political-level support to respond to ICRG process 	<ul style="list-style-type: none"> • Provide briefing to the APG DAP Group on ICRG process, priorities and APG members' progress through the process • Convene regular and ad-hoc TA coordination meetings at the Annual TA&T Forum and via teleconference to support DAP Group assistance to ICRG affected members to meet Action Plans contained in Targeted Review Reports • Include all ICRG affected jurisdictions in individual TA&T meetings with the DAP Group • Conduct regional workshops and briefings for APG members, which include discussion and possible delivery of TA&T • APG, in conjunction with DAP Group members, to conduct in-country ICRG support missions to each affected APG member
---	---	--